

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28

**UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA  
\_\_\_\_\_ DIVISION**

v.      Plaintiff,  
  
   Defendant.

Case No.  
**[PROPOSED] STIPULATED  
PROTECTIVE ORDER**

**1.    GENERAL**

1.1    Purposes and Limitations. Discovery in this action is likely to involve production of confidential, proprietary, or private information for which special protection from public disclosure and from use for any purpose other than prosecuting this litigation may be warranted. Accordingly, the parties hereby stipulate to and petition the Court to enter the following Stipulated Protective Order. The parties acknowledge that this Order does not confer blanket protections on all disclosures or responses to discovery and that the protection it affords from public disclosure and use extends only to the limited information or items that are entitled to confidential treatment under the applicable legal principles. The parties further acknowledge, as set forth in Section 12.3, below, that this Stipulated Protective Order does not entitle

1 them to file confidential information under seal; Civil Local Rule 79-5 sets forth the  
2 procedures that must be followed and the standards that will be applied when a party  
3 seeks permission from the court to file material under seal.

4 1.2 Good Cause Statement. [**\*The “Good Cause Statement” should be**  
5 **edited to include or exclude specific information that applies to the particular**  
6 **case, i.e., what harm will result from the disclosure of the confidential**  
7 **information likely to be produced in this case? Below is an example**]:

8 This action is likely to involve trade secrets, customer and pricing lists and  
9 other valuable research, development, commercial, financial, technical and/or  
10 proprietary information for which special protection from public disclosure and from  
11 use for any purpose other than prosecution of this action is warranted. Such  
12 confidential and proprietary materials and information consist of, among other things,  
13 confidential business or financial information, information regarding confidential  
14 business practices, or other confidential research, development, or commercial  
15 information (including information implicating privacy rights of third parties),  
16 information otherwise generally unavailable to the public, or which may be privileged  
17 or otherwise protected from disclosure under state or federal statutes, court rules, case  
18 decisions, or common law. Accordingly, to expedite the flow of information, to  
19 facilitate the prompt resolution of disputes over confidentiality of discovery materials,  
20 to adequately protect information the parties are entitled to keep confidential, to  
21 ensure that the parties are permitted reasonable necessary uses of such material in  
22 preparation for and in the conduct of trial, to address their handling at the end of the  
23 litigation, and serve the ends of justice, a protective order for such information is  
24 justified in this matter. It is the intent of the parties that information will not be  
25 designated as confidential for tactical reasons and that nothing be so designated  
26 without a good faith belief that it has been maintained in a confidential, non-public  
27 manner, and there is good cause why it should not be part of the public record of this  
28 case.

1     **2.    DEFINITIONS**

2           2.1    Action: [this pending federal lawsuit]. [\*Option: consolidated or related  
3 actions.]

4           2.2    Challenging Party: a Party or Non-Party that challenges the designation  
5 of information or items under this Order.

6           2.3    “CONFIDENTIAL” Information or Items: information (regardless of  
7 how it is generated, stored or maintained) or tangible things that qualify for protection  
8 under Federal Rule of Civil Procedure 26(c), and as specified above in the Good  
9 Cause Statement.

10          2.4    Counsel: Outside Counsel of Record and House Counsel (as well as their  
11 support staff).

12          2.5    Designating Party: a Party or Non-Party that designates information or  
13 items that it produces in disclosures or in responses to discovery as  
14 “CONFIDENTIAL.”

15          2.6    Disclosure or Discovery Material: all items or information, regardless  
16 of the medium or manner in which it is generated, stored, or maintained (including,  
17 among other things, testimony, transcripts, and tangible things), that are produced or  
18 generated in disclosures or responses to discovery in this matter.

19          2.7    Expert: a person with specialized knowledge or experience in a matter  
20 pertinent to the litigation who has been retained by a Party or its counsel to serve as  
21 an expert witness or as a consultant in this Action.

22          2.8    House Counsel: attorneys who are employees of a party to this Action.  
23 House Counsel does not include Outside Counsel of Record or any other outside  
24 counsel.

25          2.9    Non-Party: any natural person, partnership, corporation, association, or  
26 other legal entity not named as a Party to this action.

27          2.10 Outside Counsel of Record: attorneys who are not employees of a party  
28 to this Action but are retained to represent or advise a party to this Action and have

1 appeared in this Action on behalf of that party or are affiliated with a law firm that  
2 has appeared on behalf of that party, including support staff.

3 2.11 Party: any party to this Action, including all of its officers, directors,  
4 employees, consultants, retained experts, and Outside Counsel of Record (and their  
5 support staffs).

6 2.12 Producing Party: a Party or Non-Party that produces Disclosure or  
7 Discovery Material in this Action.

8 2.13 Professional Vendors: persons or entities that provide litigation support  
9 services (e.g., photocopying, videotaping, translating, preparing exhibits or  
10 demonstrations, and organizing, storing, or retrieving data in any form or medium)  
11 and their employees and subcontractors.

12 2.14 Protected Material: any Disclosure or Discovery Material that is  
13 designated as “CONFIDENTIAL.”

14 2.15 Receiving Party: a Party that receives Disclosure or Discovery Material  
15 from a Producing Party.

16

17 **3. SCOPE**

18 The protections conferred by this Stipulation and Order cover not only  
19 Protected Material (as defined above), but also (1) any information copied or extracted  
20 from Protected Material; (2) all copies, excerpts, summaries, or compilations of  
21 Protected Material; and (3) any testimony, conversations, or presentations by Parties  
22 or their Counsel that might reveal Protected Material.

23 Any use of Protected Material at trial shall be governed by the orders of the  
24 trial judge. This Order does not govern the use of Protected Material at trial.

25

26 **4. DURATION**

27 Once a case proceeds to trial, all of the court-filed information to be introduced  
28 that was previously designated as confidential or maintained pursuant to this

1 protective order becomes public and will be presumptively available to all members  
2 of the public, including the press, unless compelling reasons supported by specific  
3 factual findings to proceed otherwise are made to the trial judge in advance of the  
4 trial. See Kamakana v. City and Cty. of Honolulu, 447 F.3d 1172, 1180-81 (9th Cir.  
5 2006) (distinguishing “good cause” showing for sealing documents produced in  
6 discovery from “compelling reasons” standard when merits-related documents are  
7 part of court record). Accordingly, the terms of this protective order do not extend  
8 beyond the commencement of the trial.

9  
10 **5. DESIGNATING PROTECTED MATERIAL**

11 5.1 Exercise of Restraint and Care in Designating Material for Protection.

12 Each Party or Non-Party that designates information or items for protection under this  
13 Order must take care to limit any such designation to specific material that qualifies  
14 under the appropriate standards. The Designating Party must designate for protection  
15 only those parts of material, documents, items, or oral or written communications that  
16 qualify so that other portions of the material, documents, items, or communications  
17 for which protection is not warranted are not swept unjustifiably within the ambit of  
18 this Order.

19 Mass, indiscriminate, or routinized designations are prohibited. Designations  
20 that are shown to be clearly unjustified or that have been made for an improper  
21 purpose (e.g., to unnecessarily encumber the case development process or to impose  
22 unnecessary expenses and burdens on other parties) may expose the Designating Party  
23 to sanctions.

24 If it comes to a Designating Party’s attention that information or items that it  
25 designated for protection do not qualify for protection, that Designating Party must  
26 promptly notify all other Parties that it is withdrawing the inapplicable designation.

27 5.2 Manner and Timing of Designations. Except as otherwise provided in  
28 this Order (see, e.g., second paragraph of section 5.2(a) below), or as otherwise

1 stipulated or ordered, Disclosure or Discovery Material that qualifies for protection  
2 under this Order must be clearly so designated before the material is disclosed or  
3 produced.

4 Designation in conformity with this Order requires:

5 (a) for information in documentary form (e.g., paper or electronic  
6 documents, but excluding transcripts of depositions or other pretrial or trial  
7 proceedings), that the Producing Party affix, at a minimum, the legend  
8 “CONFIDENTIAL” (hereinafter “CONFIDENTIAL legend”), to each page that  
9 contains protected material. If only a portion or portions of the material on a page  
10 qualifies for protection, the Producing Party also must clearly identify the protected  
11 portion(s) (e.g., by making appropriate markings in the margins).

12 A Party or Non-Party that makes original documents available for inspection  
13 need not designate them for protection until after the inspecting Party has indicated  
14 which documents it would like copied and produced. During the inspection and  
15 before the designation, all of the material made available for inspection shall be  
16 deemed “CONFIDENTIAL.” After the inspecting Party has identified the documents  
17 it wants copied and produced, the Producing Party must determine which documents,  
18 or portions thereof, qualify for protection under this Order. Then, before producing  
19 the specified documents, the Producing Party must affix the “CONFIDENTIAL  
20 legend” to each page that contains Protected Material. If only a portion or portions  
21 of the material on a page qualifies for protection, the Producing Party also must clearly  
22 identify the protected portion(s) (e.g., by making appropriate markings in the  
23 margins).

24 (b) for testimony given in depositions that the Designating Party identify  
25 the Disclosure or Discovery Material on the record, before the close of the deposition.

26 (c) for information produced in some form other than documentary and  
27 for any other tangible items, that the Producing Party affix in a prominent place on  
28 the exterior of the container or containers in which the information is stored the legend

1 “CONFIDENTIAL.” If only a portion or portions of the information warrants  
2 protection, the Producing Party, to the extent practicable, shall identify the protected  
3 portion(s).

4 5.3 Inadvertent Failures to Designate. If timely corrected, an inadvertent  
5 failure to designate qualified information or items does not, standing alone, waive the  
6 Designating Party’s right to secure protection under this Order for such material.  
7 Upon timely correction of a designation, the Receiving Party must make reasonable  
8 efforts to assure that the material is treated in accordance with the provisions of this  
9 Order.

10

11 **6. CHALLENGING CONFIDENTIALITY DESIGNATIONS**

12 6.1 Timing of Challenges. Any Party or Non-Party may challenge a  
13 designation of confidentiality at any time that is consistent with the Court’s  
14 Scheduling Order.

15 6.2 Meet and Confer. The Challenging Party shall initiate the dispute  
16 resolution process under Local Rule 37-1, et seq. Any discovery motion must strictly  
17 comply with the procedures set forth in Local Rules 37-1, 37-2, and 37-3.

18 6.3 Burden. The burden of persuasion in any such challenge proceeding  
19 shall be on the Designating Party. Frivolous challenges, and those made for an  
20 improper purpose (e.g., to harass or impose unnecessary expenses and burdens on  
21 other parties) may expose the Challenging Party to sanctions. Unless the Designating  
22 Party has waived or withdrawn the confidentiality designation, all parties shall  
23 continue to afford the material in question the level of protection to which it is entitled  
24 under the Producing Party’s designation until the Court rules on the challenge.

25 //  
26 //  
27 //  
28 //

1     **7.     ACCESS TO AND USE OF PROTECTED MATERIAL**

2             7.1     Basic Principles. A Receiving Party may use Protected Material that is  
3 disclosed or produced by another Party or by a Non-Party in connection with this  
4 Action only for prosecuting, defending, or attempting to settle this Action. Such  
5 Protected Material may be disclosed only to the categories of persons and under the  
6 conditions described in this Order. When the Action has been terminated, a Receiving  
7 Party must comply with the provisions of section 13 below (FINAL DISPOSITION).

8             Protected Material must be stored and maintained by a Receiving Party at a  
9 location and in a secure manner that ensures that access is limited to the persons  
10 authorized under this Order.

11            7.2     Disclosure of “CONFIDENTIAL” Information or Items. Unless  
12 otherwise ordered by the Court or permitted in writing by the Designating Party, a  
13 Receiving Party may disclose any information or item designated  
14 “CONFIDENTIAL” only to:

15                   (a) the Receiving Party’s Outside Counsel of Record in this Action, as  
16 well as employees of said Outside Counsel of Record to whom it is reasonably  
17 necessary to disclose the information for this Action;

18                   (b) the officers, directors, and employees (including House Counsel) of  
19 the Receiving Party to whom disclosure is reasonably necessary for this Action;

20                   (c) Experts (as defined in this Order) of the Receiving Party to whom  
21 disclosure is reasonably necessary for this Action and who have signed the  
22 “Acknowledgment and Agreement to Be Bound” (Exhibit A);

23                   (d) the Court and its personnel;

24                   (e) court reporters and their staff;

25                   (f) professional jury or trial consultants, mock jurors, and Professional  
26 Vendors to whom disclosure is reasonably necessary for this Action and who have  
27 signed the “Acknowledgment and Agreement to Be Bound” (Exhibit A);

28



1 (g) the author or recipient of a document containing the information or  
2 a custodian or other person who otherwise possessed or knew the information;

3 (h) during their depositions, witnesses, and attorneys for witnesses, in  
4 the Action to whom disclosure is reasonably necessary provided: (1) the deposing  
5 party requests that the witness sign the form attached as Exhibit A hereto; and (2) they  
6 will not be permitted to keep any confidential information unless they sign the  
7 “Acknowledgment and Agreement to Be Bound” (Exhibit A), unless otherwise  
8 agreed by the Designating Party or ordered by the Court. Pages of transcribed  
9 deposition testimony or exhibits to depositions that reveal Protected Material may be  
10 separately bound by the court reporter and may not be disclosed to anyone except as  
11 permitted under this Stipulated Protective Order; and

12 (i) any mediator or settlement officer, and their supporting personnel,  
13 mutually agreed upon by any of the parties engaged in settlement discussions.

14  
15 **8. PROTECTED MATERIAL SUBPOENAED OR ORDERED**  
16 **PRODUCED IN OTHER LITIGATION**

17 If a Party is served with a subpoena or a court order issued in other litigation  
18 that compels disclosure of any information or items designated in this Action as  
19 “CONFIDENTIAL,” that Party must:

20 (a) promptly notify in writing the Designating Party. Such notification shall  
21 include a copy of the subpoena or court order;

22 (b) promptly notify in writing the party who caused the subpoena or order to  
23 issue in the other litigation that some or all of the material covered by the subpoena  
24 or order is subject to this Protective Order. Such notification shall include a copy of  
25 this Stipulated Protective Order; and

26 (c) cooperate with respect to all reasonable procedures sought to be pursued  
27 by the Designating Party whose Protected Material may be affected.

28

1           If the Designating Party timely seeks a protective order, the Party served with  
2 the subpoena or court order shall not produce any information designated in this action  
3 as “CONFIDENTIAL” before a determination by the court from which the subpoena  
4 or order issued, unless the Party has obtained the Designating Party’s permission. The  
5 Designating Party shall bear the burden and expense of seeking protection in that court  
6 of its confidential material and nothing in these provisions should be construed as  
7 authorizing or encouraging a Receiving Party in this Action to disobey a lawful  
8 directive from another court.

9  
10 **9. A NON-PARTY’S PROTECTED MATERIAL SOUGHT TO BE**  
11 **PRODUCED IN THIS LITIGATION**

12           (a) The terms of this Order are applicable to information produced by a Non-  
13 Party in this Action and designated as “CONFIDENTIAL.” Such information  
14 produced by Non-Parties in connection with this litigation is protected by the  
15 remedies and relief provided by this Order. Nothing in these provisions should be  
16 construed as prohibiting a Non-Party from seeking additional protections.

17           (b) In the event that a Party is required, by a valid discovery request, to produce  
18 a Non-Party’s confidential information in its possession, and the Party is subject to an  
19 agreement with the Non-Party not to produce the Non-Party’s confidential  
20 information, then the Party shall:

21                   (1) promptly notify in writing the Requesting Party and the Non-Party  
22 that some or all of the information requested is subject to a confidentiality agreement  
23 with a Non-Party;

24                   (2) promptly provide the Non-Party with a copy of the Stipulated  
25 Protective Order in this Action, the relevant discovery request(s), and a reasonably  
26 specific description of the information requested; and

27                   (3) make the information requested available for inspection by the Non-  
28 Party, if requested.

1 (c) If the Non-Party fails to seek a protective order from this Court within 14  
2 days of receiving the notice and accompanying information, the Receiving Party may  
3 produce the Non-Party's confidential information responsive to the discovery request.  
4 If the Non-Party timely seeks a protective order, the Receiving Party shall not produce  
5 any information in its possession or control that is subject to the confidentiality  
6 agreement with the Non-Party before a determination by the Court. Absent a court  
7 order to the contrary, the Non-Party shall bear the burden and expense of seeking  
8 protection in this Court of its Protected Material.

9  
10 **10. UNAUTHORIZED DISCLOSURE OF PROTECTED MATERIAL**

11 If a Receiving Party learns that, by inadvertence or otherwise, it has disclosed  
12 Protected Material to any person or in any circumstance not authorized under this  
13 Stipulated Protective Order, the Receiving Party must immediately (a) notify in  
14 writing the Designating Party of the unauthorized disclosures, (b) use its best efforts  
15 to retrieve all unauthorized copies of the Protected Material, (c) inform the person or  
16 persons to whom unauthorized disclosures were made of all the terms of this Order,  
17 and (d) request such person or persons to execute the "Acknowledgment and  
18 Agreement to Be Bound" that is attached hereto as Exhibit A.

19  
20 **11. INADVERTENT PRODUCTION OF PRIVILEGED OR OTHERWISE**  
21 **PROTECTED MATERIAL**

22 When a Producing Party gives notice to Receiving Parties that certain  
23 inadvertently produced material is subject to a claim of privilege or other protection,  
24 the obligations of the Receiving Parties are those set forth in Federal Rule of Civil  
25 Procedure 26(b)(5)(B). This provision is not intended to modify whatever procedure  
26 may be established in an e-discovery order that provides for production without prior  
27 privilege review. Pursuant to Federal Rule of Evidence 502(d) and (e), insofar as the  
28 parties reach an agreement on the effect of disclosure of a communication or

1 information covered by the attorney-client privilege or work product protection, the  
2 parties may incorporate their agreement in the stipulated protective order submitted  
3 to the Court.

4  
5 **12. MISCELLANEOUS**

6 12.1 Right to Further Relief. Nothing in this Order abridges the right of any  
7 person to seek its modification by the Court in the future.

8 12.2 Right to Assert Other Objections. By stipulating to the entry of this  
9 Protective Order, no Party waives any right it otherwise would have to object to  
10 disclosing or producing any information or item on any ground not addressed in this  
11 Stipulated Protective Order. Similarly, no Party waives any right to object on any  
12 ground to use in evidence of any of the material covered by this Protective Order.

13 12.3 Filing Protected Material. A Party that seeks to file under seal any  
14 Protected Material must comply with Civil Local Rule 79-5. Protected Material may  
15 only be filed under seal pursuant to a court order authorizing the sealing of the specific  
16 Protected Material at issue; good cause must be shown in the request to file under  
17 seal. If a Party's request to file Protected Material under seal is denied by the Court,  
18 then the Receiving Party may file the information in the public record unless  
19 otherwise instructed by the Court.

20  
21 **13. FINAL DISPOSITION**

22 After the final disposition of this Action, within 60 days of a written request by  
23 the Designating Party, each Receiving Party must return all Protected Material to the  
24 Producing Party or destroy such material. As used in this subdivision, "all Protected  
25 Material" includes all copies, abstracts, compilations, summaries, and any other  
26 format reproducing or capturing any of the Protected Material. Whether the Protected  
27 Material is returned or destroyed, the Receiving Party must submit a written  
28 certification to the Producing Party (and, if not the same person or entity, to the

1 Designating Party) by the 60 day deadline that (1) identifies (by category, where  
2 appropriate) all the Protected Material that was returned or destroyed, and (2) affirms  
3 that the Receiving Party has not retained any copies, abstracts, compilations,  
4 summaries or any other format reproducing or capturing any of the Protected Material.  
5 Notwithstanding this provision, counsel are entitled to retain an archival copy of all  
6 pleadings, motion papers, trial, deposition, and hearing transcripts, legal memoranda,  
7 correspondence, deposition and trial exhibits, expert reports, attorney work product,  
8 and consultant and expert work product, even if such materials contain Protected  
9 Material. Any such archival copies that contain or constitute Protected Material  
10 remain subject to this Protective Order as set forth in Section 4 (DURATION).

11

12 **14. VIOLATION OF ORDER**

13 Any violation of this Order may be punished by any and all appropriate  
14 measures including, without limitation, contempt proceedings and/or monetary  
15 sanctions.

16

17 IT IS SO STIPULATED, THROUGH COUNSEL OF RECORD.

18

19

20 DATED: \_\_\_\_\_

21

22 \_\_\_\_\_  
Attorneys for Plaintiff(s)

23

24

25 DATED: \_\_\_\_\_

26

27 \_\_\_\_\_  
Attorneys for Defendant(s)

28

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28

FOR GOOD CAUSE SHOWN, IT IS SO ORDERED.

DATED: \_\_\_\_\_

\_\_\_\_\_  
HON. A. JOEL RICHLIN  
United States Magistrate Judge

1 EXHIBIT A

2 ACKNOWLEDGMENT AND AGREEMENT TO BE BOUND

3  
4 I, \_\_\_\_\_ [full name], of \_\_\_\_\_  
5 [full address], declare under penalty of perjury that I have read in its entirety and  
6 understand the Stipulated Protective Order that was issued by the United States  
7 District Court for the Central District of California on \_\_\_\_\_ [date] in the  
8 case of \_\_\_\_\_ [insert case name and number]. I agree to comply with and  
9 to be bound by all the terms of this Stipulated Protective Order and I understand and  
10 acknowledge that failure to so comply could expose me to sanctions and punishment  
11 in the nature of contempt. I solemnly promise that I will not disclose in any manner  
12 any information or item that is subject to this Stipulated Protective Order to any  
13 person or entity except in strict compliance with the provisions of this Order.

14 I further agree to submit to the jurisdiction of the United States District Court  
15 for the Central District of California for the purpose of enforcing the terms of this  
16 Stipulated Protective Order, even if such enforcement proceedings occur after  
17 termination of this action. I hereby appoint \_\_\_\_\_ [full  
18 name] of \_\_\_\_\_ [full address and  
19 telephone number] as my California agent for service of process in connection with  
20 this action or any proceedings related to enforcement of this Stipulated Protective  
21 Order.

22 Date: \_\_\_\_\_

23 City and State where signed: \_\_\_\_\_

24  
25 Printed name: \_\_\_\_\_

26  
27 Signature: \_\_\_\_\_

28